

16076 U.S. PTO  
020604

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.	14329US02
		First Inventor	Kan Frankie Fan
		Title	System and Method for Teaming
		Express Mail Label No.	EV 303 832 933 US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>
3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages 17]</span> <small>(preferred arrangement set forth below)</small> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Description of the Drawings <small>(if filed)</small> -Detailed Description -Claim(s) -Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  ii. <input type="checkbox"/> Paper  c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets 3]</span>	<b>ACCOMPANYING APPLICATION PARTS</b>
5. Oath or Declaration <span style="float: right;">[Total Sheets 4]</span> a. <input checked="" type="checkbox"/> Newly executed (original or copy)  b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small>  i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <small>(when there is an assignee)</small> Attorney 11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small> 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b) <small>(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</small> 17. <input type="checkbox"/> Other: _____
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____  Prior application information: Examiner: _____ Art Unit: _____ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.	
<b>19. CORRESPONDENCE ADDRESS</b>	
<input checked="" type="checkbox"/> Customer Number: <span style="border: 1px solid black; padding: 2px;">23446</span> OR <input type="checkbox"/> Correspondence address below	
Name _____	
Address _____	
City _____	State _____ Zip Code _____
Country _____	Telephone <span style="border: 1px solid black; padding: 2px;">312-775-8000</span> Fax <span style="border: 1px solid black; padding: 2px;">312-775-8100</span>
Name (Print/type) <span style="border: 1px solid black; padding: 2px;">Michael T. Cruz</span>	Registration No. (Attorney/Agent) <span style="border: 1px solid black; padding: 2px;">44,636</span>
Signature <span style="border: 1px solid black; padding: 2px;"><i>Michael T. Cruz</i></span>	Date <span style="border: 1px solid black; padding: 2px;">February 6, 2004</span>

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Patent Fees are subject to annual revision.

**Complete if Known**

Application Number	
Filing Date	Herewith
First Named Inventor	Kan Frankie Fan
Examiner Name	
Group Art Unit	
Attorney Docket No.	14329US02

**FEE CALCULATION (continued)**

- Deposit  
Account  
Number  
Deposit  
Account  
Name

**McAndrews Held & Malloy**

2. ☒ Payment Enclosed:

☒ Check   ☐ Credit Card   ☐ Money Order   ☐ Other

### 3. ADDITIONAL FEES

Fee Code	Large Entry Fee (\$)	Fee Code	Small Entry Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (limes number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

**Large Entity Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing Fee	770.00
1002	340	2002	170	Design filing Fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)** **(\$)**770.00

### Extra Claims

Total Claims	$32 - 20^{**} =$	$12 \times$	$18 =$	$216.00$
Independent Claims	$4 - 3^{**} =$	$1 \times$	$43 =$	$43.00$
Multiple Dependent				

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Leases</b>	Leases are classified as either finance leases or operating leases. Finance leases are recorded as assets and liabilities, while operating leases are recorded as lease expense.	Leases are classified as either finance leases or operating leases. Finance leases are recorded as assets and liabilities, while operating leases are recorded as lease expense.
3. <b>Intangible Assets</b>	Intangible assets are recorded at cost and amortized over their useful life. Goodwill is recorded as an intangible asset and is not amortized.	Intangible assets are recorded at cost and amortized over their useful life. Goodwill is recorded as an intangible asset and is not amortized.
4. <b>Research and Development</b>	Research and development costs are expensed as incurred.	Research and development costs are expensed as incurred.
5. <b>Income Taxes</b>	Income taxes are calculated based on the company's taxable income. Deferred tax assets and liabilities are recorded based on the company's temporary differences.	Income taxes are calculated based on the company's taxable income. Deferred tax assets and liabilities are recorded based on the company's temporary differences.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent


**SUBTOTAL (2) (\$)**259.00

\*\*or number previously paid, if greater: For Reissues, see above

\*Reduced by Basic Filing Fee Paid

<b>SUBTOTAL (3)</b>	<b>(\$)</b>
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## Complete (if applicable)

Name (Print/Type)	Michael T. Cruz	Registration No. (Attorney or Agent)	44,636	Telephone	312-775-8084
Signature				Date	February 6, 2004

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